PTO/SB/21 (09-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. erwork Reduction Act of 1995, no persons Application Number 10/690,147 Filing Date ANSMITTAL October 21, 2003 First Named Inventor Stephen H. Canonico **FORM** Art Unit 3682 **Examiner Name** Chong Kim (to be used for all correspondence after initial filing) Attorney Docket Number A3,041 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC **√** (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a **Proprietary Information** Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer below): **Extension of Time Request** itemized return receipt postcard; Transmittal Request for Refund **Express Abandonment Request** Letter; Replacement Drawing sheet (1 sheet); Annotated Drawing sheet (1 sheet) CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Amendment is 10 pages Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

CERTIFICATE OF TRANSMISSION/MAILING						
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35,401

Firm Name

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Walker, McKenzie & Walker, P.C.

2006

Russell H. Walker

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

THE UNITED STATES PATENT AND TRADEMARK OFFICE

ate: October 12, 2006

TRANSMITTAL LETTER

In re:

Applicant(s):

Stephen H. Canonico

For:

Hand-Operated Jointed Control Lever

Application No.:

10/690,147

Filed:

October 21, 2003

Confirmation No.:

3912 Chon

Examiner:

Chong Kim

T.C. / Art Unit:

3682

Assignee:

Appalachian Cast Products, Inc.

Att'y Docket No.:

A3,041

TO THE COMMISSIONER FOR PATENTS:

Enclosed herewith are the documents set forth hereinbelow for processing:

Transmittal Form (PTO SB-21); Amendment (10 pages); Substitute Formal

Drawings (1 sheet); Annotated Drawing Sheets (1 sheet); and a return postcard.

Small entity status has previously been granted and has not changed.

Please charge any additional fees or credit any overpayment concerning the filing of the enclosed documents to Deposit Account No. 23-0125.

Respectfully submitted,

Ruell H. Wil

APPALACHIAN CAST PRODUCTS, INC., Assignee

Date: _	10	12	2006	By:	
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Date:	101	12/	2006		

Russell H. Walker Walker, McKenzie & Walker, P.C. 6363 Poplar Ave., Suite 434 Memphis, TN 38119-4896 Tel. No. (901) 685-7428 Fax No. (901) 682-6488 Registration No. 35,401 Attorney for Assignee